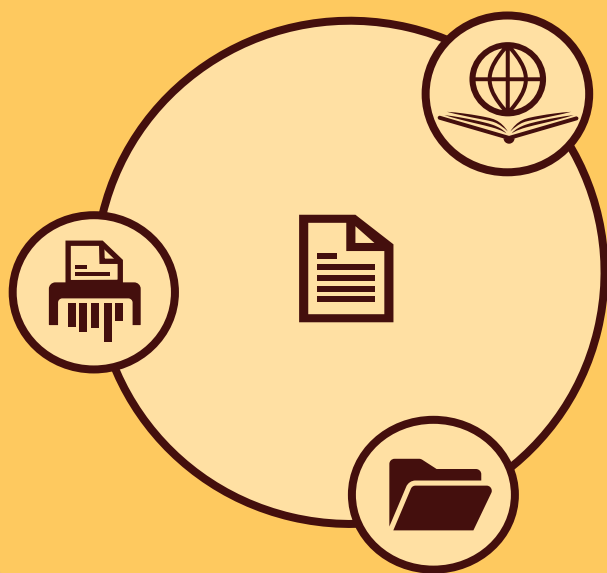


ACA@UBC

12th Annual International Symposium

From Accountability to Memory

The Duty to Document | The Right to Destroy | The Need to Preserve



Frederic Wood Theatre
University of British Columbia
February 14th, 2020

Welcome!

The Association of Canadian Archivists at the University of British Columbia (ACA@UBC) is proud to present our Twelfth Annual International Symposium, *From Accountability to Memory: The Duty to Document, The Right to Destroy, The Need to Preserve*.

Our guest speakers are internationally renowned academics, researchers, and professionals from institutions around the world. They are here to start a conversation and address the opportunities and challenges around the appraisal and acquisition of records and archives. Today, we will explore the need to establish professional practices that ensure accountability through the duty to document, protect individual rights to destroy and be forgotten, and highlight the societal need to preserve, and remember. Our speakers will present a range of viewpoints that critically examine how we can reconcile the seemingly conflicting values of accountability and privacy.

Together, as archivists, records managers, and information professionals, we will explore how our profession can develop strategies of accountability in an increasingly digital and politicized world by employing both fair and contested practices, and learning how individuals can gain and take part in those practices. We will listen to how different countries and institutions have approached these topics, and how our profession can develop systems that reflect and guarantee the accountable management of records.

We are delighted that you could join us for this year's event and extend a warm welcome to all the students, professionals, faculty, staff, and speakers here today. We know you will find it engaging.

Join the conversation on Twitter:
#acaubc2020

Schedule

08:10 – 08:45	Registration
08:45 – 09:10	Opening Remarks
09:10 – 09:30	Darrell Evans
09:30 – 10:00	Susan Laidlaw
10:00 – 10:30	Andrew Ross

10:30 – 10:40 **Break**

10:40 – 11:10	Andrea Riley
11:10 – 11:40	Paul Young

11:40 – 13:10 **Lunch**

13:10 – 13:40	Dieter Schlenker
13:40 – 14:10	Prisca Giordani
14:10 – 14:40	Gabriele Bezzi

14:40 – 14:50 **Break**

14:50 – 15:20	Matteo Manfredi
15:20 – 15:50	Adrian Cunningham
15:50 – 16:10	Closing remarks



Darrell Evans

Canadian Institute for Information and Privacy Studies

Democracy and the 'Duty to Document'

A documentary produced by The Canadian Institute for Information and Privacy Studies, InterPARES Trust and Capilano University Documentary Unit"

Democracy depends on having comprehensive, trustworthy and accessible government records, but we are experiencing a paradigm shift in how records are created and managed, driven by technological change and institutional resistance to transparency. Could legislating a 'Duty to Document' government decisions and actions enable us to ensure effective record keeping and an empowered electorate?

Darrell Evans started a movement to get a freedom of information and protection of privacy act passed in British Columbia in 1990. This campaign led to the creation of the BC Freedom of Information and Privacy Association (FIPA) in January 1991, a non-profit society dedicated to empowering citizens by increasing their access to vital information and their control over their personal information. Evans was FIPA's first president and then assumed the position of Executive Director until Dec. 2010. While working as FIPA's Executive Director in 1993, Darrell was asked to advise and work with a similar group of FOI enthusiasts in Alberta on a volunteer basis. The resulting movement led to the passage in 1994 of an Alberta FOIPP act closely modelled on the BC act. Since 2012, Darrell has been occupied with launching the Canadian Institute for Information and Privacy Studies.

Susan Laidlaw

British Columbia Government Records Service



Implementing a Duty to Document – the BC Government Experience

In April 2019, British Columbia became the first jurisdiction in Canada to legislate a requirement for government employees to document key government decisions. This presentation will describe what constitutes an "adequate record" and what types of government decision must now be documented by law. This presentation will describe the 5 elements of BC requirement: defined roles and responsibilities; documented policies and procedures; appropriate recordkeeping systems; training and awareness; and compliance monitoring. The presentation will outline the factors that led up to the decision to bring the requirement into force, and how it was implemented across the BC Public Service.

Susan Laidlaw is the current Executive Director of the British Columbia Government Records Service. In this role, Susan is proud to lead a group of 65 talented archivists and records professionals. She and her team are responsible for records management policy, operations and systems across the government of BC. A SLAIS Alumna, Susan graduated with an MLIS from UBC in 2003, and has an MBA from the University of Victoria. Last year she led the introduction of a legislated Duty to Document in BC.



Andrew Ross

Library and Archives Canada (LAC)

Selective Memory: Appraising the Records of the Government of Canada

In 2014, LAC re-established government records appraisal programme on the basis of macroappraisal. This methodological approach focuses on the selection of records at the point of creation, appraises documents in relation to societal values rather than research potential or creator choice, and aims to document the impact of the federal state on Canadian society. The presentation will give an overview of the tools and processes that have proven effective in shaping the memory of the Government of Canada, while also addressing emerging challenges to the LAC approach.

Andrew Ross holds a PhD in history from the University of Western Ontario, and was a postdoctoral fellow at the University Guelph before joining Library and Archives Canada, where he is currently a manager in the Government Archives Division.

Andrea Riley

National Archives and Records Administration (NARA)



Managing Elephants: How the National Archive and Records Administration has Approached Scheduling and Appraisal of Electronic Records

Electronic records are the elephant in the room. We all see it. We all know that it is there. It's big. And while we know some ways to deal with it, it's still a problem. In the case of the National Archives and Records Administration (NARA), it's more like managing a herd of elephants as we have nearly 300 agencies of various sizes and complexities, each with their own electronic records issues. This presentation will discuss three approaches NARA has taken to manage these electronic records "elephants": media neutrality, flexible scheduling (also known as "big buckets"), and the Capstone approach to managing electronic messages. Each policy has been a critical building block in NARA's solutions for scheduling and appraising electronic records. The presentation will conclude with some thoughts on the future of scheduling and appraisal at NARA in light of the recent strategic decision to move to taking in only digital records after 2023.

Andrea Riley is supervisor of the Operations Research and Support Team at NARA, which oversees efforts towards modernizing scheduling and appraisal, the General Records Schedules, the Records Control Schedule Repository, and facilitating the implementation of ERA 2.0. Previously, she worked at NARA as an electronic records archivist and later moved to what is now the Office of the Chief Records Officer as a senior records appraiser. She is a Certified Public Manager and has an MLIS from the University of Arizona, a MA in Public History from Arizona State University, and a BA in History and Great Plains Studies from the University of Nebraska - Lincoln.



Paul Young

National Archives of the United Kingdom (TNA)

A Practitioners Perspective of Challenges of Digital Transfer

In the UK, government departments are required to transfer records after 20 years to TNA. For digital records this means we are now approaching the millennium and a large increase in the scale of digital records produced by departments. Much of the current approaches to appraisal and selection, sensitivity review and transfer of digital records to TNA revolve around processes based on the paper transfer model. With the increase in scale new approaches need to be considered. Technology offers opportunities to reduce the burden of manual work required. TNA has launched a project entitled 'AI for selection' to examine Artificial Intelligence products. It is also developing the Transfer Digital Records (TDR) system to create a more automated approach to transferring records. Technology however will need to be coupled with a willingness to embrace cultural change, acknowledging the inherent messiness and uncertainty which can often come with digital records.

Paul Young is a Digital Preservation Specialist at TNA in the UK where he works in the Digital Archiving department investigating the issues which can affect the transfer and preservation of digital material. Previously, he worked with county records at Surrey History Centre and for the Endangered Archives programme at The British Library assisting with providing access to the project's digitised images.

Dieter Schlenker

Historical Archives of European Union



Archival Appraisal in a Transnational Environment – Policies, Practice and Challenges of Archival Appraisal in European Union Institutions

Archival practice in Europe evolved for long time on a national level. With the establishment of the European Communities after World War II a completely new concept of regional integration with a supranational ambition started. Archivists working in Community institutions (likewise international organisations) had to develop new approaches to their profession, and process of standardisation and harmonisation of archival science and practice began. The key question of appraisal, which records to keep and how, was asked and defined in new ways, adapting to very different political climates and administrative environments. The presentation will seek to locate and define the specificities of appraisal in a transnational environment compared to national practice on the one hand and international developments on the other.

Dieter Schlenker is the Director of the Historical Archives of the European Union. Previously, he worked for UNESCO, as archivist at the Paris Headquarters and as head of the Information and Knowledge Management Unit in Bangkok. He also worked as Records Manager at Ford Company European Headquarters in Cologne and at FAO Headquarters in Rome. Dieter holds a Diploma from the Archival School of the Vatican Secret Archive and a PhD in Modern History from the University of Heidelberg.

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Prisca Giordani

International Monetary Fund (IMF)

A Case in Defending the Right to Preserve the Investigation Files of the Anti-Fraud Office of the European Commission

“A case in defending the right to preserve the investigation files of the Anti-Fraud Office of the European Commission” is the story of a big shift. It is an example of how relevant the records management and archival competences are for any Institution. From 2013 to 2015 OLAF addressed its retention schedule and its interpretation of Regulation 45/2000 with regards to the investigative files of the Institution and made a reflection on its operational needs and on the value of its records. The complete paradigm shift was possible enabling all interested parties in the conversation and adding elements of records and archival management into it. This was an excellent example of balancing the various positions.

Prisca Giordani serves as the Section Chief for Information Governance and Preservation at the International Monetary Fund in Washington, DC. Previously, she was the Document Management Officer of the Anti-Fraud Office of the European Union (OLAF) in Brussels and worked for Rome’s International Fund for Agricultural Development, the Historical Archives of the European Union in Florence, and the European Centre for Development of Vocational Training in Thessaloniki. Prisca graduated from the Master of Archival Studies program at UBC in 2001 and holds a Master in Archival Science from the University of Rome.

Gabriele Bezzi

Archival Digital Centre of Emilia-Romagna (ParER)

14²⁰

An Experience in Digital Preservation in Italy

The presentation will focus on the case of the Polo Archivistico dell’Emilia-Romagna (ParER). It is the digital archive center of the Emilia-Romagna region, responsible for the permanent preservation of digital records transferred from all regional public administrations. It is considered one example of the Italian best practices in preservation of digital archives. It was established in 2009, as part of IBC - Istituto Beni Culturali della Regione Emilia-Romagna, the regional agency for cultural heritage. For 10 years it has implemented and applied in practice policy and procedures to ensure that all records transferred from the public administrations can be safely stored, in order to be accessible in the forthcoming years. ParER has its own technological infrastructure and has developed a preservation system, called “Sacer”, based on OAIS and national standard. It is also involved in definition of national models and rules on recordkeeping, appraisal and digital preservation. ParER preserves different digital record typologies: administrative, educational, cultural, health care, etc. Up to now, it has preserved over one billion of records, from over one thousand public administrations.

Gabriele Bezzi is the Senior Archivist at ParER, a digital archives center. He is involved in Italian standards working groups, various facets of InterPARES, and the launch of the journal *Archivi & Computer*. Garbiele holds degrees in Modern History from the University of Bologna and Archives, Paleography, and Diplomatics from the State Archives of Bologna.



Matteo Manfredi

Universidad Andina Simón Bolívar (Quito, Ecuador)

RIPDASA: the Ibero-American Network for the Digital Preservation of Audiovisual Archives.

RIPDASA is a research project whose objective is to develop networking between different research groups from several Ibero-American countries (among them Mexico, Colombia, Ecuador, Perú, Chile, Brasil, Uruguay). Its purpose is to identify, analyze, create awareness and promote sustainable digital preservation alternatives for sound and audiovisual documents and to reduce the risk of losing them. The ultimate goal is to create an observatory for geolocalization of these kinds of archives.

Matteo Manfredi is the director of the Master's program for Archives and Records Management at the Universidad Andina Simón Bolívar in Quito, Ecuador. He holds a Bachelor of Political Science and Administration at Università di Napoli "L'Orientale", a Master in Archival and Record Management from the Universidad Autónoma de Barcelona, and a PhD in American History from the Universidad del País Vasco.

Adrian Cunningham
National Archives of Australia



Recordmaking by design: Australian experiences with using appraisal to help governments make and keep good records

This paper will review the political context that drove changes in public recordkeeping laws and standards in different Australian jurisdictions from the 1990s onwards, most particularly the advent of legislative requirements for government agencies to **make** and keep full and accurate records. It explores the archival professional response to these developments over the past 25 years, including the adoption of 'records continuum' thinking and an ongoing reconceptualisation and reoperationalisation of appraisal. It will argue that the achievements of the Australian records profession in implementing new approaches to appraisal have been mixed. It will consider the lessons that can be learned from these experiences and what the profession internationally can do to help ensure that full and accurate records are indeed made and kept by public agencies.

Adrian Cunningham began his nearly 40-year career in collecting archives, first at the State Library of New South Wales and then the National Library of Australia. He also ran the multi-national Pacific Manuscripts Bureau for a year and held positions in standards policy and government recordkeeping at various federal and state agencies in Australia. He currently works as a consultant and is a Fellow of the International Council on Archives, a Fellow of the Australian Society of Archivists, and a member of the ISO Committee on Records Management and Archives.

Thank you

We hope you have enjoyed your time with us at the ACA@UBC Twelfth Annual International Symposium, we have certainly enjoyed our time with you!

On behalf of the students and the UBC School of Information, we would like to thank all of our speakers for the generous gift of their time, for visiting us here in Vancouver to present today, and for all the wonderful discussion over the past two days.

We would also like to thank our seminar moderators and all our panellists for providing thought-provoking conversations regarding the negotiation of accountability and memory documentation practices.

A special thank you to our faculty advisor Dr. Luciana Duranti for the supportive guidance during the planning of the event, not the least of which was inviting the speakers. We also wish to give a big thank you to the wonderful faculty and staff of the School of Information, as well as Dr. Gage Averill and Dr. Luanne Freund for starting us off today.

The Symposium would not be possible without the generous support of the School of Information at UBC, and our sponsors: InterPARES Trust, the Association of Canadian Archivists (ACA), the Irving K. Barber Learning Centre, UBC Graduate and Post-Graduate Studies, ARMA Vancouver, Library and Archival Studies Students Association (LASSA), Simon Fraser University (SFU) Archives and Records Management, UBC Arts Co-op, British Columbia Institute of Technology (BCIT) Archives, the Archives Association of British Columbia (AABC), Frederic Wood Theatre, and the Walter H. Gage Memorial Fund.

Finally, we would like to thank you for your attendance and support. We look forward to seeing you next year at ACA@UBC's Thirteenth Annual Symposium!

Sincerely,

The ACA@UBC Executive 2020

The ACA@UBC Executive 2020

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**Archives Association
of British Columbia**

**The AABC and ARMA Vancouver Island present:
"Creative by Nature"**

The AABC and ARMA Vancouver Island are pleased to be holding a joint conference in 2020. We are seeking proposals on the topic of "Creative by Nature", exploring the creativity and innovation that archivists and records managers must bring to a rapidly changing world.

Call for Proposals Deadline: March 8, 2020

www.aabc.ca

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What is the ACA?

The ACA represents professional archivists in Canada. It promotes the development and growth of the archival profession and raises awareness of archives in society.

Why should I become an ACA member?

- All members can access reduced conference rates and student members receive a 50 % reduced conference fee when they register on-line for the annual ACA conference.
- ACA members can access *e-Archivaria*, the A+ ranked journal devoted to the scholarly investigation of archived (published biannually) using their ACA login credentials.

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20/20 Vision Seeing Archives Differently University of British Columbia June 11 - 13, 2020

What do humpback whales and archivists have in common?

They will both be migrating to Canada's West Coast in June 2020! The humpbacks will join us for the cool waters and tasty fish, while the archivists will venture to the coast for thought-provoking plenaries and sessions, inspiring conversations, and perhaps a few cool beverages with colleagues and friends.

Advocacy, appraisal, art, awareness, community archives, cultural memory, dance, data, decolonization, digital preservation, diversity, education, emotional labour, indigenous worldviews, legal frameworks, music, outreach, power structures, recordkeeping, repatriation, representation, visual media. You name it, we've got it!

Check out the Conference At-A-Glance for all the fantastic sessions, plenaries, and workshops that are planned for ACA 2020: www.archivists.ca/2020-Annual-Conference

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The Centre provides spaces for research and innovation, in support of the intellectual, cultural and economic development of BC's diverse population.

The Irving K. Barber Learning Centre is pleased to sponsor the ACA 2020 Symposium.

Our Initiatives, Resources and Partnerships

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- BC History Digitization Program

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A banner for the SFU Archives and Records Management Department. It features a red square with 'SFU' in white, followed by the department name in grey. Below is the tagline 'Stewardship | Innovation | Engagement | Collaboration' in red, and the website 'www.sfu.ca/archives' in red. The background is a photograph of a modern building with a glass facade and a concrete structure, under a blue sky with clouds.

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